

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission:

2. Agency: Department of Commerce

3. Bureau: U.S. Department Of Commerce - Agency Wide

4. Name of this Capital Asset: Commerce Business Systems (CBS)

5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) 006-00-01-01-01-0510-00

6. What kind of investment will this be in FY 2010? (Please NOTE: Investments moving to O&M in FY 2010, with Planning/Acquisition activities prior to FY 2010 should not select O&M. These investments should indicate their current status.) Operations and Maintenance

7. What was the first budget year this investment was submitted to OMB? FY2001 or earlier

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

The CBS is the integrated financial management system that has been implemented in 12 of the 14 Bureaus of the DOC. The system enables the DOC to meet the requirements of the CFO Act and OMB Circular A-127. This investment is also in direct support of the PMA to "improve financial performance." CBS provides a financial management system that produces accurate and timely information to support operating, budget, and policy decisions within the DOC.

The CBS consists of a Core Financial System including the Commerce Purchase Card System and the Budget and Execution Data Warehouse. The CBS is integrated with the Commerce Standard Acquisition and Reporting System, the National Finance Center Payroll System, the Central Contractor Registry and the Automated Standard Application for Payments.

In October 2003, the CBS moved from the development to operations and maintenance mode. It is now the financial management system of record for the Census Bureau, Office of the Secretary, Office of Inspector General, EDA, MBDA, ESA, BEA, NOAA, BIS, NIST, NTIA, and ITA.

During FY 2008, OFM began implementation of the first phase of CBS Consolidation - the co-location of DOC's financial management platforms at the Census Data Center (CDC). In February 2008, OFM was told by the Census Bureau that because of Census' need to absorb decennial systems, their data center could not support the CBS Consolidation. As a result, DOC developed and reviewed options; identified benefits, risks and impacts; and made the decision to distribute equipment back to the bureaus and OFM/CSC in order to achieve the technical migration to Oracle 10g before co-locating or consolidating hosting infrastructures.

As a result of the migration of CBS to Web-based technology, which will be promoted to production in FY 2009, the life expectancy of CBS is expected to be extended beyond 2012. In FY 2009, DOC will issue a Statement of Work (SOW) for the Future Financial and Administrative Planning Business Analysis. This SOW will assist DOC in 1) analyzing its current financial and administrative environment, 2) determining the long-term viability of its CBS platform, and 3) comparing the current platform to other potential options for support of the Department's financial management environment.

9. Did the Agency's Executive/Investment Committee approve this request? Yes

a. If "yes," what was the date of this approval? 9/15/2005

10. Did the Project Manager review this Exhibit? Yes

11. Contact information of Program/Project Manager?

Name

Phone Number

Email

a. What is the current FAC-P/PM (for civilian agencies) or DAWIA (for defense agencies) certification level of the program/project manager? Waiver Issued

b. When was the Program/Project Manager Assigned? 12/11/2005

c. What date did the Program/Project Manager receive the 10/15/2008

FAC-P/PM certification? If the certification has not been issued, what is the anticipated date for certification?

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project? Yes

a. Will this investment include electronic assets (including computers)? Yes

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only) No

1. If "yes," is an ESPC or UESC being used to help fund this investment?

2. If "yes," will this investment meet sustainable design principles?

3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment directly support one of the PMA initiatives? Yes

If "yes," check all that apply: Financial Performance

a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

The CBS is the integrated financial management system that has been implemented throughout most of DOC. The system enables the DOC to meet the requirements of the CFO Act and Circular A-127. This investment is also in direct support of the President's Management Agenda to "improve financial performance." CBS provides a financial management system that produces accurate and timely information to support operating, budget, and policy decisions within the DOC.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.) No

a. If "yes," does this investment address a weakness found during a PART review? No

b. If "yes," what is the name of the PARTed program?

c. If "yes," what rating did the PART receive?

15. Is this investment for information technology? Yes

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance) Level 3

17. In addition to the answer in 11(a), what project management qualifications does the Project Manager have? (per CIO Council PM Guidance) (1) Project manager has been validated as qualified for this investment

18. Is this investment or any project(s) within this investment identified as "high risk" on the Q4 - FY 2008 agency high risk report (per OMB Memorandum M-05-23) Yes

19. Is this a financial management system? Yes

a. If "yes," does this investment address a FFIA compliance area? Yes

1. If "yes," which compliance area: FISCAM, Prompt Pay Act, Timely/Accurate Financial Reports, and OFFM

2. If "no," what does it address?

b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

Commerce Business Systems (CBS)

20. What is the percentage breakout for the total FY2010 funding request for the following? (This should total 100%)

Hardware

3

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Software 15
 Services 44
 Other 38
 21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities? N/A

22. Contact information of individual responsible for privacy related questions:

Name

Phone Number

Title Director, Financial Management Systems

E-mail

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval? Yes

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas? No

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

| Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) | | | | | | | | | |
|--|------------------|---------|---------|---------|-----------|-----------|-----------|-----------------|-------|
| (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions) | | | | | | | | | |
| | PY-1 and earlier | PY 2008 | CY 2009 | BY 2010 | BY+1 2011 | BY+2 2012 | BY+3 2013 | BY+4 and beyond | Total |
| Planning: | 0 | 0 | 0 | 0 | | | | | |
| Acquisition: | 54.365 | 0 | 0 | 0 | | | | | |
| Subtotal Planning & Acquisition: | 54.365 | 0 | 0 | 0 | | | | | |
| Operations & Maintenance: | 212.984 | 22.648 | 23.789 | 23.801 | | | | | |
| TOTAL: | 267.349 | 22.648 | 23.789 | 23.801 | | | | | |
| Government FTE Costs should not be included in the amounts provided above. | | | | | | | | | |
| Government FTE Costs | 62.048 | 13.715 | 15.524 | 16.185 | | | | | |
| Number of FTE represented by Costs: | 640 | 99 | 104 | 104 | | | | | |

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's? No

a. If "yes," How many and in what year?

3. If the summary of spending has changed from the FY2009 President's budget request, briefly explain those changes:

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

| Contracts/Task Orders Table: | | | | | | | | | | | | | | | | * Costs in millions |
|-------------------------------|---|-------------------------------------|--|------------------------------------|----------------------------------|---|---|--------------------------------|------------------------------|--|-------------------------------|--|------------|--------------------------------------|---|--|
| Contract or Task Order Number | Type of Contract/ Task Order (In accordance with FAR Part 16) | Has the contract been awarded (Y/N) | If so what is the date of the award? If not, what is the planned award date? | Start date of Contract/ Task Order | End date of Contract/ Task Order | Total Value of Contract/ Task Order (\$M) | Is this an Interagency Acquisition? (Y/N) | Is it performance based? (Y/N) | Competitively awarded? (Y/N) | What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A) | Is EVM in the contract? (Y/N) | Does the contract include the required security & privacy clauses? (Y/N) | Name of CO | CO Contact information (phone/email) | Contracting Officer FAC-C or DAWIA Certification Level (Level 1, 2, 3, N/A) | If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N) |
| SA1301-07-NC-0059 | Labor Hour | Yes | 7/3/2007 | 7/3/2007 | 7/2/2009 | 8.178 | No | Yes | Yes | NA | No | Yes | | 301-355-5508 | Level 3 | |
| DG-1330-07-NC-1268 | T&M | Yes | 8/1/2007 | 8/1/2007 | 1/31/2009 | 5.286 | No | No | No | NA | No | Yes | | 301-713-3478 | Level 3 | |
| SA1301-07-CN-0001 | T&M | Yes | 10/1/2006 | 10/1/2006 | 9/30/2011 | 4.033 | No | Yes | No | NA | No | Yes | | 301-355-5508 | Level 3 | |
| SA1301-06-NC-0059 | Labor Hour | Yes | 5/1/2006 | 5/1/2006 | 4/30/2011 | 0.73 | No | Yes | Yes | NA | No | Yes | | 301-355-5508 | Level 3 | |
| SA1301-09-NC-0013 | T&M | Yes | 11/21/2008 | 11/21/2008 | 9/30/2009 | 0.011 | No | No | No | NA | No | Yes | | 301-355-5508 | Level 3 | |
| SA1301-08-NC-0073 | FFP | Yes | 9/23/2008 | 9/1/2008 | 9/30/2009 | 0.056 | No | No | No | NA | No | Yes | | 301-355-5508 | Level 3 | |
| SA1301-08-NC-0038 | FFP | Yes | 9/26/2008 | 9/26/2008 | 12/31/2014 | 0.124 | No | Yes | Yes | NA | No | Yes | | 301-355-5508 | Level 3 | |
| SA1301-08-NC-0071 | FFP | Yes | 9/22/2008 | 10/1/2008 | 9/30/2009 | 0.017 | No | No | No | NA | No | Yes | | 301-355-5508 | Level 3 | |
| EA1330-09-nc-0310 | T&M | Yes | 1/23/2009 | 2/1/2009 | 1/31/2010 | 4.025 | No | No | Yes | NA | No | Yes | | 757-441-6561 | Level 3 | |
| CM1301-05-SU-0008 | FFP | Yes | 5/1/2005 | 5/1/2005 | 4/30/2009 | 0.062 | No | Yes | Yes | NA | No | Yes | | 301-355-5508 | Level 3 | |
| SA1301-08-NC-0037 | FFP | Yes | 3/27/2008 | 3/27/2008 | 3/26/2009 | 0.022 | No | No | Yes | NA | No | Yes | | 301-355-5508 | Level 3 | |
| SA1301-08-CN-0019 | T&M/IDIQ | Yes | 2/24/2008 | 2/4/2008 | 11/30/2008 | 0.096 | No | Yes | No | NA | No | Yes | | 202-482-4124 | Level 3 | |
| SA1301-08-NC-0014 | FFP | Yes | 1/31/2008 | 1/31/2008 | 1/31/2009 | 0.127 | No | No | Yes | NA | No | Yes | | 301-355-5508 | Level 3 | |
| SA1301-08-NC-0026 | FFP | Yes | 4/23/2008 | 4/23/2008 | 1/30/2009 | 0.009 | No | No | No | NA | No | Yes | | 301-355-5508 | Level 3 | |
| SB134108NC0168 | Labor Hours | Yes | 4/16/2008 | 8/1/2008 | 5/4/2013 | 15.582 | No | Yes | Yes | NA | No | Yes | | 301-975-6324 | Level 3 | |
| YA132307CN0038 | FFP | Yes | 7/31/2007 | 8/1/2007 | 4/30/2009 | 3.667 | No | Yes | No | NA | Yes | Yes | | 301-763-1804 | Level 3 | |

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

The CBS is in Operation and maintenance state and Earned Value is not required.

3. Do the contracts ensure Section 508 compliance? Yes

a. Explain why not or how this is being done? The CBS CFS is a windows-based application begun in the early 1990's. The underlying Oracle technology that supports the windows-based CFS will soon lose Oracle support. Therefore, CFS' technology is being migrated to a web base Oracle platform. Upon completion and testing, the CBS will be 508 compliant for color and standard font size. Based on funding constraints, the CFS will not be 508 compliant for screen reader with audio output.

4. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? Yes

a. If "yes," what is the date? 6/11/2007

1. Is it Current? Yes

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond the next President's Budget.

| Performance Information Table | | | | | | | | |
|-------------------------------|---|------------------------------|---------------------------|---------------------------|-----------------------|--|---------------|----------------|
| Fiscal Year | Strategic Goal(s) Supported | Measurement Area | Measurement Category | Measurement Grouping | Measurement Indicator | Baseline | Target | Actual Results |
| 2006 | 4.1 Management Integration Goal: Achieve Organizational and Management Excellence. | Customer Results | Customer Benefit | Customer Satisfaction | 3.5 out of 5 | Customer Survey - Communication with Customers. | 3.75 out of 5 | 3.8 |
| 2006 | 4.1 Management Integration Goal: Achieve Organizational and Management Excellence. | Mission and Business Results | Financial Management | Reporting and Information | 100% | Clean Audit Opinion obtained on Consolidated Financial Statement | No slippage | 100% |
| 2006 | 4.1 Management Integration Goal: Achieve Organizational and Management Excellence. | Processes and Activities | Management and Innovation | Compliance | 86% | Maintain OFFM Compliance | 87% | 87% |
| 2006 | 4.1 Management Integration Goal: Achieve Organizational and Management Excellence. | Technology | Effectiveness | User Satisfaction | 3.5 out of 5 | Customer Survey - Deliveries meet requirements. | 3.75 out of 5 | 3.5 |
| 2007 | 4.1 Management Integration Goal: Achieve Organizational and Management Excellence. | Customer Results | Customer Benefit | Customer Satisfaction | 3.5 out of 5 | Customer Survey - Communication with Customers. | 3.85 out of 5 | 3.5 |

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| Performance Information Table | | | | | | | | |
|-------------------------------|---|------------------------------|---------------------------|---------------------------|-----------------------|--|-----------------------------|-------------------|
| Fiscal Year | Strategic Goal(s) Supported | Measurement Area | Measurement Category | Measurement Grouping | Measurement Indicator | Baseline | Target | Actual Results |
| | Management Excellence. | | | | | | | |
| 2007 | 4.1 Management Integration Goal: Achieve Organizational and Management Excellence. | Mission and Business Results | Financial Management | Reporting and Information | 100% | Clean Audit Opinion obtained on Consolidated Financial Statement | No slippage | 100% |
| 2007 | 4.1 Management Integration Goal: Achieve Organizational and Management Excellence. | Processes and Activities | Management and Innovation | Compliance | 86% | Maintain OFFM Compliance | 90% | 87% |
| 2007 | 4.1 Management Integration Goal: Achieve Organizational and Management Excellence. | Technology | Effectiveness | User Satisfaction | 3.5 out of 5 | Customer Survey - Deliveries meet requirements. | 4.0 out of 5 | 3.2 |
| 2008 | 4.1 Management Integration Goal: Achieve Organizational and Management Excellence. | Customer Results | Customer Benefit | Customer Satisfaction | 3.5 out of 5 | Customer Survey - Communication with Customers. | 3.95 out of 5 | Not Available yet |
| 2008 | 4.1 Management Integration Goal: Achieve Organizational and Management Excellence. | Mission and Business Results | Financial Management | Reporting and Information | 100% | Clean Audit Opinion obtained on Consolidated Financial Statement | No slippage | 100% |
| 2008 | 4.1 Management Integration Goal: Achieve Organizational and Management Excellence. | Processes and Activities | Management and Innovation | Compliance | 86% | Maintain OFFM Compliance | 92% | 87% |
| 2008 | 4.1 Management Integration Goal: Achieve Organizational and Management Excellence. | Technology | Effectiveness | User Satisfaction | 3.5 out of 5 | Customer Survey - Deliveries meet requirements. | 4.0 out of 5 - no slippage | Not Available yet |
| 2009 | 4.1 Management Integration Goal: Achieve Organizational and Management Excellence. | Customer Results | Customer Benefit | Customer Satisfaction | 3.5 out of 5 | Customer Survey - Communication with Customers. | 3.95 out of 5 | |
| 2009 | 4.1 Management Integration Goal: Achieve Organizational and Management Excellence. | Mission and Business Results | Financial Management | Reporting and Information | 100% | Clean Audit Opinion obtained on Consolidated Financial Statement | No slippage | |
| 2009 | 4.1 Management Integration Goal: Achieve Organizational and Management Excellence. | Processes and Activities | Management and Innovation | Compliance | 92% | Maintain OFFM Compliance | 95% | |
| 2009 | 4.1 Management Integration Goal: Achieve Organizational and Management Excellence. | Technology | Effectiveness | User Satisfaction | 4.0 out of 5 | Customer Survey - Deliveries meet requirements. | 4.25 out of 5 - no slippage | |
| 2010 | 4.1 Management Integration Goal: Achieve Organizational | Customer Results | Customer Benefit | Customer Satisfaction | 3.5 out of 5 | Customer Survey - Communication with Customers. | 4.0 out of 5 | |

| Performance Information Table | | | | | | | | |
|-------------------------------|--|------------------------------|---------------------------|---------------------------|-----------------------|--|--------------------------|----------------|
| Fiscal Year | Strategic Goal(s) Supported | Measurement Area | Measurement Category | Measurement Grouping | Measurement Indicator | Baseline | Target | Actual Results |
| | and Management Excellence. | | | | | | | |
| 2010 | 4.1 Management Integration Goal: Achieve Organizational and Management Excellence. | Mission and Business Results | Financial Management | Reporting and Information | 100% | Clean Audit Opinion obtained on Consolidated Financial Statement | No slippage | |
| 2010 | 4.1 Management Integration Goal: Achieve Organizational and Management Excellence. | Processes and Activities | Management and Innovation | Compliance | 92% | Maintain OFFM Compliance | 95% | |
| 2010 | 4.1 Management Integration Goal: Achieve Organizational and Management Excellence. | Technology | Effectiveness | User Satisfaction | 4.0 out of 5 | Customer Survey - Deliveries meet requirements. | 4 out of 5 - no slippage | |

Section E: Security and Privacy (IT Capital Assets only)

| 8. Planning & Operational Systems - Privacy Table: | | | | | |
|--|---------------------------------|---|---|--|--|
| (a) Name of System | (b) Is this a new system? (Y/N) | (c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N) | (d) Internet Link or Explanation | (e) Is a System of Records Notice (SORN) required for this system? (Y/N) | (f) Internet Link or Explanation |
| CEN04 | No | No | This system does not contain, process or transmit personal identifying information. | No | This is not a Privacy Act system of records. |
| NIST 162 01 | No | No | This system does not contain, process or transmit personal identifying information. | No | This is not a Privacy Act system of records. |
| NOAA1000 | No | No | This system does not contain, process or transmit personal identifying information. | No | This is not a Privacy Act system of records. |
| OS009 | No | Yes | http://ocio.os.doc.gov/ITPolicyandPrograms/IT_Privacy/PROD01_Q03710 | No | This is not a Privacy Act system of records. |
| OS028 | No | No | This system does not contain, process or transmit personal identifying information. | No | This is not a Privacy Act system of records. |
| OS051 | No | No | This system does not contain, process or transmit personal identifying information. | No | This is not a Privacy Act system of records. |

Details for Text Options:
 Column (d): If yes to (c), provide the link(s) to the publicly posted PIA(s) with which this system is associated. If no to (c), provide an explanation why the PIA has not been publicly posted or why the PIA has not been conducted.
 Column (f): If yes to (e), provide the link(s) to where the current and up to date SORN(s) is published in the federal register. If no to (e), provide an explanation why the SORN has not been published or why there isn't a current and up to date SORN.
 Note: Working links must be provided to specific documents not general privacy websites. Non-working links will be considered as a blank field.

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture? Yes
 - a. If "no," please explain why?

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The agency's target enterprise architecture is under development at the departmental level.

2. Is this investment included in the agency's EA Transition Strategy? Yes

a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. Commerce Business System (CBS)

b. If "no," please explain why?

3. Is this investment identified in a completed and approved segment architecture? No

a. If "yes," provide the six digit code corresponding to the agency segment architecture. The segment architecture codes are maintained by the agency Chief Architect. For detailed guidance regarding segment architecture codes, please refer to <http://www.egov.gov>. 600-000

4. Service Component Reference Model (SRM) Table:
Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.egov.gov>.

| Agency Component Name | Agency Component Description | FEA SRM Service Domain | FEA SRM Service Type | FEA SRM Component (a) | Service Component Reused Name (b) | Service Component Reused UPI (b) | Internal or External Reuse? (c) | BY Funding Percentage (d) |
|---------------------------------|------------------------------|------------------------------|----------------------------------|-------------------------------|-----------------------------------|----------------------------------|---------------------------------|---------------------------|
| Commerce Business Systems (CBS) | CBS Standard Interface | Back Office Services | Data Management | Data Exchange | | | No Reuse | 3 |
| Commerce Business Systems (CBS) | CBS Solutions Center | Back Office Services | Development and Integration | Software Development | | | No Reuse | 49 |
| Commerce Business Systems (CBS) | CBS Data Warehouse | Business Analytical Services | Business Intelligence | Decision Support and Planning | | | No Reuse | 11 |
| Commerce Business Systems (CBS) | CBS Solutions Center | Business Management Services | Investment Management | Strategic Planning and Mgmt | | | No Reuse | 2 |
| Commerce Business Systems (CBS) | CBS Solutions Center | Business Management Services | Management of Processes | Change Management | | | No Reuse | 9 |
| Commerce Business Systems (CBS) | CBS Solutions Center | Business Management Services | Management of Processes | Quality Management | | | No Reuse | 8 |
| Commerce Business Systems (CBS) | CBS Solutions Center | Business Management Services | Management of Processes | Risk Management | | | No Reuse | 3 |
| Commerce Business Systems (CBS) | CBS Solutions Center | Business Management Services | Organizational Management | Network Management | | | No Reuse | 2 |
| Commerce Business Systems (CBS) | CBS Solutions Center | Business Management Services | Organizational Management | Workgroup / Groupware | | | No Reuse | 2 |
| Commerce Business Systems (CBS) | CBS Solutions Center | Customer Services | Customer Relationship Management | Call Center Management | | | No Reuse | 5 |
| Commerce Business Systems (CBS) | CBS Solutions Center | Support Services | Security Management | Intrusion Detection | | | No Reuse | 4 |
| Commerce Business Systems (CBS) | CBS Solutions Center | Support Services | Systems Management | Software Distribution | | | No Reuse | 2 |

a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in the column can, but are not required to, add up to 100%.

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| 5. Technical Reference Model (TRM) Table: To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment. | | | | |
|--|-------------------------------------|---------------------------------|------------------------------------|--|
| FEA SRM Component (a) | FEA TRM Service Area | FEA TRM Service Category | FEA TRM Service Standard | Service Specification (b) (i.e., vendor and product name) |
| Decision Support and Planning | Component Framework | Data Management | Reporting and Analysis | Oracle Discoverer, CBS Data Warehouse, Crystal Reports, Business Objects, Golden |
| Data Exchange | Component Framework | Security | Supporting Security Services | TLS, ConnectDirect, LSMS, F% BIG-IP w/FIPS SSL |
| Workgroup / Groupware | Service Access and Delivery | Access Channels | Collaboration / Communications | Windows, Microsoft Office Suite, MS Outlook, Microsoft Outlook, Adobe Acrobat, Acrobat Distiller, PrintScreen, CBS Portal, Citrix, Thunderbird, Heat |
| Software Distribution | Service Access and Delivery | Delivery Channels | Internet | Release software via Lotus Notes Email and encrypted access to servers, LANDesk, Applications Published to Web Servers / Citrix Servers via encrypted VPN tunnel |
| Risk Management | Service Access and Delivery | Service Requirements | Legislative / Compliance | Adhere to DOC policies and mandates, Financial / Systems Audits, Security Controls, C&A updates, 3rd Party Independent Risk Assessments |
| Intrusion Detection | Service Access and Delivery | Service Transport | Supporting Network Services | McAfee VirusScan, STAT Scanner, IPSentry, Sniffer Pro, Veritas Server Enterprise, RealSecure Network, TripWire, Quest Foglight, Guardian Scanner, Harris Stat Scanner, Tipping Point IPS |
| Data Exchange | Service Access and Delivery | Service Transport | Supporting Network Services | SMTP, LDAP, TCP/IP, HTTP, FTP, VPN, SecureCRT, Secure FX, ssh, SFTP, SSL, TLS, SQLNET, TIBCO w/AES |
| Software Development | Service Interface and Integration | Integration | Enterprise Application Integration | TIBCO |
| Software Development | Service Interface and Integration | Interface | Service Description / Interface | Oracle SQL*Loader and custom-build Application Program Interface (API) |
| Software Development | Service Platform and Infrastructure | Database / Storage | Database | Oracle RDBMS Ver 10 Rel 2, Oracle RDBMS Ver 9i, Data Guard, Oracle Warehouse Builder, ASO, Progress DBMS (CSTAR), SQL Server (Citrix) |
| Call Center Management | Service Platform and Infrastructure | Delivery Servers | Portal Servers | Oracle Portal, Remedy, Serena TeamTrack, Heat, Captivate |
| Network Management | Service Platform and Infrastructure | Hardware / Infrastructure | Network Devices / Standards | CISCO Routers, Firewalls, Switches, Gateway LanDesk Management, Sloadwinds |
| Software Development | Service Platform and Infrastructure | Hardware / Infrastructure | Servers / Computers | SUN/Solaris, HP/TRU64, Windows 2000/Windows 2003, Windows XP, IBM Blade, Tru64, Solaris, IBM AIX |
| Software Development | Service Platform and Infrastructure | Software Engineering | Integrated Development Environment | Oracle Developer Suite, Oracle Designer, Toad for Oracle, Oracle Forms/Reports, SQL Plus, Foglight, Space Manager |
| Strategic Planning and Mgmt | Service Platform and Infrastructure | Software Engineering | Modeling | Oracle Designer, Trux Metis |
| Change Management | Service Platform and Infrastructure | Software Engineering | Software Configuration Management | Serena PVCS, Remedy CM, Version Manager |
| Quality Management | Service Platform and Infrastructure | Software Engineering | Test Management | Mercury QuickTest Professional, Regression Test Scripts, Load Runner / Win Runner |

a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

6. Will the application leverage existing components and/or applications across the Government (i.e., USA.gov, Pay.Gov, etc)? Yes

a. If "yes," please describe.

Pay.Gov

Exhibit 300: Part III: For "Operation and Maintenance" investments ONLY (Steady State)**Section A: Risk Management (All Capital Assets)**

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

1. Does the investment have a Risk Management Plan? Yes
- a. If "yes," what is the date of the plan? 8/13/2008
- b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? No
- c. If "yes," describe any significant changes:

The Risk Management Plan is in the process of being revised to incorporate the changes as a result of the dissolution of the CBS Consolidation project, and the decision to distribute equipment back to the bureaus and OFM/CSC in order to achieve the technical migration to Oracle 10g before co-locating to consolidating hosting infrastructures.

2. If there currently is no plan, will a plan be developed?
- a. If "yes," what is the planned completion date?
- b. If "no," what is the strategy for managing the risks?

Section B: Cost and Schedule Performance (All Capital Assets)

1. Was an operational analysis conducted? Yes
- a. If "yes," provide the date the analysis was completed. 11/17/2008
- b. If "yes," what were the results?

The CBS investment, which is now in steady state mode, is meeting all cost, schedule, and performance goals. There is no negative cost or schedule variance. The CBS acquisition and planning phase was completed for all bureaus on time and within budget. The costs for Operations and Maintenance are phased over each year. The DOC is on track to complete all remaining milestones on schedule and within budget.

CBS is meeting the program objectives. It is positioning the DOC to meet ongoing management initiatives and future direction. It is correcting reported weaknesses and deficiencies in the previous DOC financial management systems environment by increasing standardization, consistency, and facility of data exchange. This allows the DOC to stay current with changing Federal requirements for financial and administrative systems. There is no performance variance.

- c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future:

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

- a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? Contractor and Government

Exhibit 300: Commerce Business Systems (CBS) (Revision 18)

| 2.b Comparison of Plan vs. Actual Performance Table | | | | | | | |
|---|--|------------------------------|-----------------|------------------------------|-----------------|-------------------|-----------|
| Milestone Number | Description of Milestone | Planned | | Actual | | Variance | |
| | | Completion Date (mm/dd/yyyy) | Total Cost(\$M) | Completion Date (mm/dd/yyyy) | Total Cost(\$M) | Schedule (# days) | Cost(\$M) |
| 01 | Census CBS Maintenance (Operations and Support) | | | | \$58.559000 | | |
| 02 | NOAA CBS Implementation Investment (Full Acquisition) | | | 9/30/2002 | \$29.094000 | | |
| 03 | NIST (including client bureaus) CBS Implementation Investment (Full Acquisition) | | | 9/30/2003 | \$22.971000 | | |
| 04 | NIST (including client bureaus) CBS Maintenance (Operations and Support) | | | | \$39.308000 | | |
| 05 | CSC Maintenance (Operations and Support) | | | | \$122.108000 | | |
| 06 | Census CBS Implementation Investment (Full Acquisition) | | | 9/29/2000 | \$6.242000 | | |
| 07 | Patent and Trademark Administration (Full Acquisition) | | | 9/28/2001 | \$0.783000 | | |
| 08 | Economic Development Administration - Grants (Full Acquisition) | | | 9/29/2000 | \$0.716000 | | |
| 09 | Office of Computer Services (Full Acquisition) | | | 9/29/2000 | \$0.004000 | | |
| 10 | Office of Computer Services (Operations and Support) | | | | \$0.157000 | | |
| 11 | CSC Implementation Investment (Full Acquisition) | | | 9/30/2004 | \$15.145000 | | |
| 12 | Economic Development Administration - Grants (Operations and Support) | | | 9/30/2001 | \$0.028000 | | |
| 13 | NOAA CBS Maintenance (Operations and Support) | | | | \$66.200000 | | |
| 14 | ITA Implementation Investment (Full Acquisition) | | | 9/30/2007 | \$4.445000 | | |